NSF PRE- AND POST-AWARD DISCLOSURES

Large Facilities Workshop
Jean Feldman, Head, Policy Office – on detail to
the CRSSP, NSF Office of the Director

Disclosure Information in Proposals

- Collaborators and Other Affiliations – internal NSF use only. Information is used to help manage reviewer selection.

- Biographical Sketch(es)

- Current and Pending Support

Biographical Sketch

- The Biographical Sketch is used to assess how well qualified the individual, team, or organization is to conduct the proposed activities.

- Required sections of the Biographical Sketch include:

  - Professional Preparation – List of individual's undergraduate, graduate and postdoctoral training

  - Appointments - A list, in reverse chronological order by start date of all the individual's academic, professional, or institutional appointments, beginning with the current appointment. Appointments section must include any titled academic, professional, or institutional position whether or not remuneration is received and whether full-time part-time, or voluntary (including adjunct, visiting or honorary).

  - Products - A list of: (i) up to five products most closely related to the proposed project; and (ii) up to five other significant products, whether or not related to the proposed project.

    - Senior personnel who wish to include publications in the products section of the biographical sketch that include multiple authors may, at their discretion, choose to list one or more of the authors and then "*et al*" in lieu of including the complete listing of authors' names.

Biographical Sketch (Cont'd)

- Synergistic Activities – A list of up to five distinct examples that demonstrates the broader impact of the individual's professional and scholarly activities that focus on the integration and transfer of knowledge as well as its creation.

    - Synergistic Activities must be specific and may not include multiple examples to describe a single activity.

- An NSF-approved format must be used to develop this section of the NSF proposal.

    - A set of Frequently Asked Questions regarding the NSF-approved formats has been developed to assist users in completion of this section of the proposal.

- For proposals submitted or due on or after, October 4, 2021, the page limitation for the biographical sketch will be increased to three pages.

- See PAPPG, Chapter II.C.2.f for complete coverage on preparation of the biographical sketch.
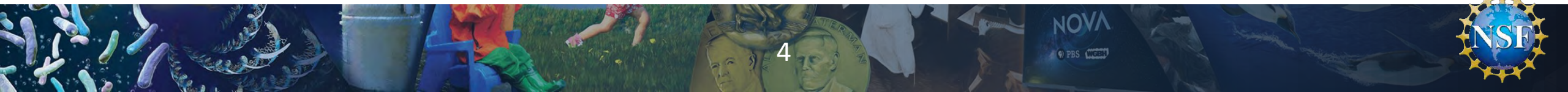
Biographical Sketch: NSF-Approved Format - SciENcv Download

NSF BIOGRAPHICAL SKETCH

NAME: Test, Data

POSITION TITLE & INSTITUTION: Associate VP for Research, University of Maryland

(a) PROFESSIONAL PREPARATION

INSTITUTION	LOCATION	MAJOR / AREA OF STUDY	DEGREE (if applicable)	YEAR YYYY
University of Maryland	College Park, MD	Philosophy	PHD	2020

(b) APPOINTMENTS

2003 - present   Associate VP for Research, University of Maryland

(c) PRODUCTS

Products Most Closely Related to the Proposed Project

Other Significant Products, Whether or Not Related to the Proposed Project

(d) SYNERGISTIC ACTIVITIES

Current and Pending Support

- NSF uses the information to assess the capacity of the individual to carry out the research as proposed as well as to help assess any potential overlap/duplication.

- Information must be provided for all current and pending support irrespective of whether such support is provided through the proposing organization or directly to the individual.

- Support includes, for example, Federal, State, local, foreign, public, or private foundations, non-profit organizations, industrial or other commercial organizations, or internal funds allocated toward specific projects.

- In-kind contributions intended for use on the project being proposed must be included in Facilities, Equipment and Other Resources. In-kind contributions not intended for use on the project being proposed **and** which have an associated time commitment must be reported in Current and Pending Support.

Current and Pending Support (Cont'd)

- The total award amount for the entire award period covered (including indirect costs) must be provided, as well as the number of person-months (or partial person-months) per year to be devoted to the project by the individual.

- For proposals submitted or due on or after, October 4, 2021:
  - A brief statement of the overall objectives of the project/proposal being proposed or in-kind contribution must be provided. The submission also should summarize potential overlap with any active or pending proposal or in-kind contribution and this proposal in terms of scope, budget, or person-months planned or committed to the project by the individual.

- A set of Frequently Asked Questions regarding the NSF-approved formats has been developed to assist users in completion of this section of the proposal.

- See PAPPG, Chapter II.C.2.h for complete coverage on Current and Pending Support

NSF

Current and Pending Support: NSF-Approved Format - SciENcv Download

NSF CURRENT AND PENDING SUPPORT

PI/co-PI/Senior Personnel: Test, Data

PROJECT/PROPOSAL CURRENT SUPPORT

1. Project/Proposal Title: #2

   Proposal/Award Number (if available):

   Source of Support: NIH

   Primary Place of Performance: College Park

   Project/Proposal Support Start Date (if available):

   Project/Proposal Support End Date (if available):

   Total Award Amount (including Indirect Costs): $50,000

   Person-Month(s) (or Partial Person-Months) Per Year Committed to the Project:

Year	Person-months per year committed
2017	1

Current and Pending Support – Project/Proposal

IN-KIND CONTRIBUTIONS PENDING

1. Source of Support: UVA

   Primary Place of Performance: Charlottesville, VA

   Summary of In-Kind Contributions: Laboratory Space

   Time Commitment - Person-Month(s) (or Partial Person-Months) Committed Per Year:

Year	Person-months per year committed
2020	1

   Dollar Value of In-kind Contribution: $1,000

Current and Pending Support – In-Kind Contributions

NSF Pre-award and Post-award Disclosures[1/2/3]
Relating to the Biographical Sketch and Current and Pending Support

Table Key

● = for new support only

◆ = If undisclosed at the time of proposal submission

Type of Activity	Biographical Sketch	Current & Pending Support	Facilities, Equipment & Other Resources	Project Reports	Post-Award Information Term & Condition	Disclosure Not Required
Undergraduate, graduate, and postdoctoral training, including location, major/area and degree and year	✔					
Academic, professional[4] or institutional appointments, whether or not remuneration is received, and, whether full-time, part-time, or voluntary	✔					
All projects (including this project) currently under consideration from whatever source, and all ongoing projects, irrespective of whether support is provided through the proposing organization, another organization or *directly* to the individual		✔		✔*	✔◆	
In-kind contributions that support the research activity for use on the project/proposal being proposed			✔			

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[1] A comprehensive listing of post-award notifications and requests for prior approval is contained in the general conditions incorporated by reference into each NSF award.

[2] This table does not address disclosures submitted regarding Collaborators and Other Affiliations that are used to manage reviewer selection.

[3] This table does not address disclosures to grantees of financial conflicts of interest under PAPPG Chapter IX.A.

[4] Senior personnel must identify all current domestic or foreign professional appointments outside of the individual's academic, professional, or institutional appointments at the proposing organization.

Post-Award Disclosure Term and Condition

- If an organization discovers that a PI or co-PI on an active NSF award failed to disclose current support or in-kind contribution information as part of the proposal submission process, the AOR must submit this information.

- Effective October 5, 2020, the AOR is required to inform NSF within 30 days of becoming aware of the failure to disclose.

- NSF may consult with the AOR, or designee, if necessary, and determine the impact of the new information on the NSF-funded grant, and, where necessary, take appropriate action.

Post-Award Disclosure of Project Support Information

- The AOR must submit the following information:
  - PI/co-PI Name
  - Project Title
  - Award Number (if available)
  - Source of Support
  - Primary Place of Performance
  - Project Start and End Date
  - Total Award Amount (including Indirect Costs)
  - **Brief Description of the Major Goals of the Project**
  - **Description of any Overlap/Duplication of the project with the NSF award**
  - **Impact on the ability of the PI/co-PI to carry out the NSF award**
  - Person-Month(s) (or Partial Person-Months) Per Year Committed to the Project

Post-Award Disclosure of In-Kind Contribution Information

- The AOR must submit the following information:

    - PI/co-PI Name

    - Source of Support

    - Primary Place of Performance

    - Summary of In-Kind Contributions

    - **Description of any Overlap/Duplication of the project with the NSF award**

    - **Impact on the ability of the PI/co-PI to carry out the NSF award**

    - Person-Month(s) (or Partial Person-Months) Per Year Committed to the Project

Active Other Support Requirements in Annual and Final Project Reports

- Effective for project reports submitted on or after October 5, 2020, PIs and co-PIs must notify NSF when active other support has changed since the award was made, or since the most recent annual report.

  - An NSF-approved format for Current and Pending Support must be used to notify NSF in annual and final reports.

- PIs and co-PIs also must indicate the percentage of the award's budget that was spent in a foreign country

  - Beginning in August 2021, new NSF-specific instructions direct awardees when developing award budget percentage to **only include** consultant arrangements with foreign individuals and first-tier subawards to foreign organizations. No other budget categories should be included.

Adding Current and Pending Support to a Project Report

1. Has there been a change to the active other support since the last reporting period?

2. If you select, "Yes" you will be required to upload your most up-to-date Current and Pending Support document.

3. The Current and Pending Support document must be submitted in an NSF-approved format.

Adding Current and Pending Support to a Project Report

NSF-specific help text states:

For NSF-Specific Purposes, in the year one annual report has there been a change in current other support since the award was made. For subsequent annual reports has there been a change in current other support since the last reporting period.